



REPORT TO: OVERVIEW AND SCRUTINY COMMITTEE

DATE: 11 APRIL 2013

REPORT OF THE: CORPORATE DIRECTOR (s151)
PAUL CRESSWELL

TITLE OF REPORT: AUDIT MONITORING REPORT

WARDS AFFECTED: ALL

EXECUTIVE SUMMARY

1.0 PURPOSE OF REPORT

1.1 The report summarises the outcome of internal audit work undertaken between December 2012 and March 2013.

2.0 RECOMMENDATION

2.1 It is recommended that the committee note the results of audit and fraud work undertaken in 2012/13 to date.

3.0 REASON FOR RECOMMENDATION

3.1 To enable the committee to fulfil its responsibility for considering the outcome of internal audit work.

4.0 SIGNIFICANT RISKS

4.1 The council will fail to comply with the requirements of the Cipfa Code of Practice for Internal Audit in Local Government in the United Kingdom if the results of audit work are not considered by the committee.

5.0 POLICY CONTEXT AND CONSULTATION

5.1 This report supports the Council's Corporate Strategic Objective of providing strong Community Leadership, by demonstrating a commitment to local democracy and accountability.

6.0 REPORT DETAILS

6.1 The purpose of this report is to inform Members of the progress made to date in delivering the plan, and any developments that may have had an impact on the plan throughout the financial year.

6.2 Annex A includes a summary of the audit opinions and findings for the individual audits completed to date.

6.3 One audit highlighted concerns around telephones, this has been discussed in detail with the Management and issues are being addressed. In addition one draft report has been given an overall audit opinion of "limited assurance". This is currently in discussion and will be reported at the next Committee when actions have been agreed, and the report has been finalised.

7.0 IMPLICATIONS

7.1 The following implications have been identified:

a) Financial

None

b) Legal

None

c) Other (Equalities, Staffing, Planning, Health & Safety, Environmental, Crime & Disorder)

None

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Background Papers:

None